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# FOUNDATION FOR INTELLIGENT PHYSICAL AGENTS

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## FIPA Modeling Area: Environment

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19 *Geneva, Switzerland*

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## 20 **Foreword**

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22 industry of intelligent agents by openly developing specifications supporting interoperability among agents and agent-  
23 based applications. This occurs through open collaboration among its member organizations, which are companies and  
24 universities that are active in the field of agents. FIPA makes the results of its activities available to all interested parties  
25 and intends to contribute its results to the appropriate formal standards bodies.

26 The members of FIPA are individually and collectively committed to open competition in the development of agent-  
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30 participation in FIPA.

31 The FIPA specifications are developed through direct involvement of the FIPA membership. The status of a  
32 specification can be either Preliminary, Experimental, Standard, Deprecated or Obsolete. More detail about the process  
33 of specification may be found in the FIPA Procedures for Technical Work. A complete overview of the FIPA  
34 specifications and their current status may be found in the FIPA List of Specifications. A list of terms and abbreviations  
35 used in the FIPA specifications may be found in the FIPA Glossary.

36 FIPA is a non-profit association registered in Geneva, Switzerland. As of January 2000, the 56 members of FIPA  
37 represented 17 countries worldwide. Further information about FIPA as an organization, membership information, FIPA  
38 specifications and upcoming meetings may be found at <http://www.fipa.org/>.

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# 67 1 Scope

## 68 1.1 Abstract

69 Without an environment, an agent is effectively useless. Cut off from the rest of its world, the agent can neither  
70 sense nor act. An *environment* provides the conditions under which an entity (agent or object) can exist. It  
71 defines the properties of the world in which an agent will function. Designing effective agents requires careful  
72 consideration of both the physical and communicational aspects of their environment. To aid in this design, this  
73 document proposes an assortment of modeling languages that can represent the various aspects of environment.

## 74 1.2 Keywords

75  
76

## 77 1.3 Document participants

78 James Odell, Van Parunak, Marc-Philippe Huget, and Renato Levy.

## 79 2 Introduction

80 Agents need to operate and exist within an environment. Figure 1 illustrates a common view that agents perceive  
 81 their environment through sensors as well as effect actions on it. [Pfeifer, 1999; Weiss, 1999; Russell, 1995] For  
 82 example, a Stock agent can receive an event indicating that quantities of a particular part are low. The agent  
 83 then decides whether more parts need to be ordered and, if so, put out a general call-for-proposal so that  
 84 interested vendors can reply. When proposals arrive, the Stock agent will choose and notify the winning vendor.  
 85 This model implies that agents interact *via* an environment. Even direct communications (such as vendor  
 86 notification) must occur through some medium. In other words, the environment provides the appropriate  
 87 conditions that enable interaction among agents. This insight, largely overlooked in the design of purely electronic  
 88 agents, is particularly critical for managing agents that are situated in the physical world. Before modeling  
 89 languages can be chosen, the notion of environment must be explored and understood.

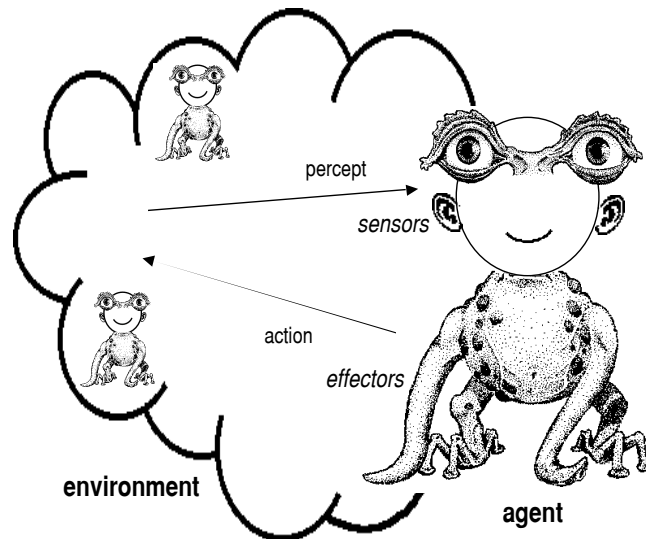


Fig. 1. Agents interact with and through their environment.

### 90 2.1 What is an Environment?

91 One of the key properties of agents is their autonomy. However, autonomy is not an all-or-nothing issue.  
 92 Practically speaking, agents can neither be totally free of external dependencies nor completely reliant on them.  
 93 They always depend on external factors to some degree.

94 An *environment* provides the conditions under which an entity (agent or object) exists.

95 In other words, it defines the properties of the world in which an agent can and does function. An agent's  
 96 environment, then, consists not only of all the other entities in its environment, but also those principles and  
 97 processes under which the agents exist and communicate. Designing effective agents requires careful  
 98 consideration of all of these factors when designing their environment.

99 A canonical example of agents situated in an environment is an ant colony. Ants interact with one another largely  
 00 through chemicals, called *pheromones*, that they deposit in the environment and then sense to guide their  
 01 actions. Numerous individual interactions yield the emergent development of paths through the environment.  
 02 However, the environment is more than just a communication channel. Agents depend both on tangible, physical  
 03 support and on other agents. Two aspects, then, are critical for agent environments (and the formation of paths):  
 04 the physical and the communicational.  
 05

## 06 3 Physical Environment

07 The particular kind of environment that biological agents (animals and plants) require for survival is referred to as  
 08 their ecological niche. Edward O. Wilson defines *ecological niche* as: “The range of each environmental variable  
 09 such as temperature, humidity, and food items, within which a species can exist and reproduce.” [Wilson, 1975]  
 10 While artificial agents can have different requirements for survival, they still require an ecological niche, or physical  
 11 environment, to support them.

12 The *physical environment* provides those principles and processes that govern and support a population  
 13 of entities.

### 14 3.1 Principles of a physical environment

15 The laws of physics provide us with the fundamental truths that are essential to the world in which we live. For  
 16 example, a physicist could use the study of particle dynamics to describe the causes for motion and the way in  
 17 which bodies influence each other. For such descriptions, we obtain principles such as the conservation of  
 18 energy, gravity, sound waves, and fluid dynamics. In Karl Sims’ agents, the same principles apply because his  
 19 “creatures” were bred to swim, run, and fly in a world whose laws of physics are almost identical to ours. [Sims,  
 20 1994a, 1994b] In contrast, the ant’s environment has its own particle dynamics. For example, ants may only  
 21 move from one place to an adjacent place; no two ants may occupy the same place at the same time; and yet  
 22 pheromones may be aggregated when separate ants deposit them at the same place. The concepts of diffusion  
 23 and evaporation are also part of the agent environment. This makes it possible for pheromones to spread to  
 24 neighboring places as well as evaporate over time. Similarly, a statement of fundamental qualities is also required  
 25 for agent environments. Here, each agent-based system must identify and define those fundamental truths  
 26 forming the ground of its system.

27 For agents, principles of the physical environment can be thought of as laws, rules, constraints, and policies that  
 28 govern and support the physical existence of agents and objects. Basic characteristics for an agent environment  
 29 can include [Weiss, 1999; Russell, 1995]:

- 30 – **Accessibility**- To what extent is the environment known and available to the agent? An environment is  
 31 effectively accessible if the agent can access the environmental state relevant to the agent’s choice of action.  
 32 Another consideration is whether the available resources are ample or restricted.
- 33 – **Determinism**- To what extent can the agent predict events in the environment? The environment is  
 34 deterministic when the next state of the environment can be determined by the current state and the actions  
 35 selected by the agents.
- 36 – **Diversity**- How homogeneous or heterogeneous are the entities in the environment?
- 37 – **Controllability**- To what extent can the agent modify its environment?
- 38 – **Volatility**- How much can the environment change while the agent is deliberating?
- 39 – **Temporality**- Is time divided in a clearly defined manner? For example, do actions occur continuously or  
 40 discrete time steps or episodes?
- 41 – **Locality**- Does the agent have a distinct location in the environment which may or may not be the same as the  
 42 location of other agents sharing the same environment. Or, are all agents virtually collocated? Also, how is a  
 43 particular locality expressed (e.g., coordinate system, distance metrics, relative positioning)?
- 44 – **Other**- What is the physical shape of the environment (e.g., a torus), its dimensions (e.g., 3D) and any formulas  
 45 which specify its form?

### 46 3.2 Processes of a physical environment

47 Formally, an environment can be expressed as a two-tuple [Parunak, 1996]:

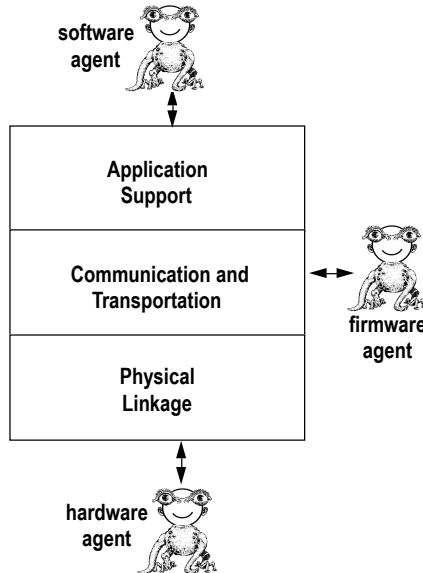
$$48 \quad \textit{Environment} = \langle \textit{State}_e, \textit{Process}_e \rangle$$

49 Where,  $\textit{State}_e$  is a set of values that completely define the environment. The structure, domains, and variability  
 50 of these values are not constrained by this definition, and differences in these features are responsible for much  
 51 of the interesting variation among different kinds of environments. The state also includes the agents and objects  
 52 within the environment.  $\textit{Process}_e$  is an autonomously executing mapping that changes the environment’s state,  
 53  $\textit{State}_e$ . “Autonomously executing” means that the process runs without being invoked from any outside entity. In  
 54 computational terms, an environment has its own virtual CPU. The important feature of this definition of  
 55 environment is that the environment itself is active. It has its own process that can change its state—which  
 56 includes the agents and objects within the environment—independently of the actions of its embedded agents.<sup>1</sup>

<sup>1</sup> The exact nature of the coupling between agents and their environment depends on how state and process are modeled in each: as a discrete-event or time-based dynamical system. The former involves a discrete state with a

57 In an agent environment, the primary purpose of these processes is to implement the environmental principle. For  
 58 example, the gravitational field is a principle that can be implemented with a process that attracts entities in a  
 59 prescribed manner. In other words, the falling of an apple to Earth can be regarded as the process of gravity in  
 60 action.

61 In the case of ants, the environment is not a passive conduit for information. Instead, it actively processes  
 62 pheromones in three ways. It aggregates pheromone deposits from separate ants at the same place (thus  
 63 realizing a primitive form of information fusion). It evaporates pheromones over time (thus providing a novel form  
 64 of truth maintenance). Finally, it propagates pheromones to neighboring places (thus disseminating information).  
 65 Experiments show that these mechanisms are critical to the formation of paths. More generally, environmental  
 66 activity means that the environment may change even when the agents living in the environment do not take  
 67 action.



68  
 69 Figure 2: Layering process support for the agent's physical environment.

70 Different physical environments will be required for different kinds of agents—and vice versa. With artificial agents,  
 71 much more than physics is happening because much of the environment is information intensive. In ant-based  
 72 environments, the pheromones *are* information. In many defense-related agent systems, the information-intense  
 73 environment includes satellite telemetry, body- and vehicle-based communications technology, and geographic  
 74 positioning grids. In agent-based supply chains, information about orders and resources is a major component of  
 75 the system.

76 To support the varied information requirements of such agent-based systems, a common processing platform  
 77 would be useful. This platform would provide a foundation upon which agent applications could build to leverage  
 78 their own specific environmental requirements. As illustrated in Fig. 3, such a platform—whether the agents are  
 79 implemented as software, hardware, or a combination of both—would consist of:

- 80 • **Application Support** contains the applications, as well as all management and support services for the entities  
 81 supported by the environment, such as directory and ontology services, query, mobility, security, and firewalls.
- 82 • **Communication and Transportation** packages, routes, verifies, and transmits data required for the application  
 83 support layer. It provides a general-purpose service that has no application dependencies and the type of  
 84 data does not matter.
- 85 • **Physical Linkage** specifies the physical and electrical characteristics of the bus. Typically, this involves the  
 86 hardware that converts the characters of a message into electrical signals for transmitted messages and  
 87 electrical signals into characters for received messages. This can include standard physical interfaces such as  
 88 controllers, actuators, sensors—as well as road networks and pallets.

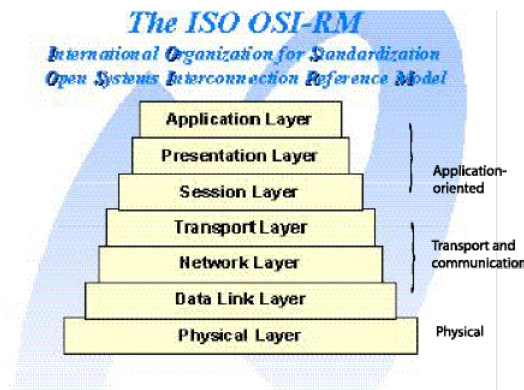
89  
 90 The processes for an agent's physical environment may be implemented in either hardware or software; however,  
 91 at some point (Physical Linkage) the environment must be realized in some material form. For example, CAN  
 92 (Controller Area Network) has developed hardware for Physical Linkage layer. They have also developed  
 93 software for the Application Support layer that supports CAN controllers and interface devices  
 94 [<http://www.omega.co.uk/CAN/>].

|                                      |   |
|--------------------------------------|---|
| Agent Management System              | <b>Execution and monitoring of active agents</b><br>Basic functionality (API)<br>- Identification                      - Query/Search<br>- Directory Services                - Mobility<br>- Registration |
| Agent Platform Security Manager      | <b>Secure transfer of messages and objects</b><br>Secure protocols<br>Data encryption<br>Digital signature<br>Firewalls   |
| Agent Platform Communication Channel | <b>Provision of base communication functions</b><br>Protocols, document formats<br>RPC, remote programming<br>Remote method invocation<br>Object serialization  |

**Figure 3. The agent platform specified by FIPA.**

95 Some work has already been done to define the standard services required for agent-based physical  
 96 environments. The FIPA (Foundation of Intelligent Physical Agents) *Agent Platform* defines an abstract  
 97 architecture for agent deployment and is summarized in Fig. 3. [FIPA, 1998] The existence of layered protocol  
 98 such as FIPA and ISO shows that people already have an intuition about the importance of relating agents to the  
 99 rest of the world.

- 00 – **Agent management system** (AMS) can be implemented as a single agent that supervises access to and use  
 01 of the agent platform. The AMS maintains a directory of logical agent names and their associated transport  
 02 addresses for an agent platform. The AMS is responsible for managing the lifecycle of the agents on the  
 03 platform and actions such as authentication, registration, de-registration, search, and mobility requests.
- 04 – **Agent platform security manager** (APSM) is responsible for maintaining security policies for the platform and  
 05 infrastructure. The APSM is responsible for run-time activities, such as communications, transport-level security,  
 06 and audit trails. Security cannot be guaranteed unless, at a minimum, all communication between agents is  
 07 carried out through the APSM.
- 08 – **Agent platform communication channel** provides a path for basic interchange between agents, agent  
 09 services, AMS, and other agent platforms. It must at least support IOP. Agents can reach agents on any  
 10 number of other platforms through the Agent Communication Channel. Ways of communicating include using  
 11 blackboard or message-based communication; point-to-point, multicast, or broadcast; push or pull; and  
 12 synchronous or asynchronous.



**Figure 4. The ISO 7498 Open Systems Interconnection (OSI) model [ISO 1994].**

13 In spite of the acronym, the FIPA architecture focuses almost entirely on the electronic environment, and does not  
 14 address the physical environment. As such, it does not address the real potential of an active environment to  
 15 provide emergent system-level behavior. As stated earlier, every agent has an environment. However, such  
 16 environment can be consciously used in special ways to get more powerful interaction.

17 A standard that does address the physical environment is the ISO/OSI model, depicted in Fig. 4.<sup>2</sup> This model  
 18 describes how communications should occur between computers on any network, and has been adopted as a

<sup>2</sup> Guy Genilloud, Guy has proposed a flexible translation for linking FIPA to OSI via CORBA in [Genilloud, 1997].

19 general "open" network communication standard. In principle, anything that conforms to the standard can  
20 communicate, electronically, with anything else that conforms to the standard.

### 21 **3.3 Population of a physical environment**

22 An environment is an inhabited place; i.e., it is populated. An agent's environment might or might not contain  
23 other entities, and it might be open or closed. An environment's population is the totality of entities under its  
24 consideration. For the environment of the canonical software ant, this population would consist of food,  
25 pheromones, and other ants. For a real-world ant, it would also include earth, twigs, trees, and picnics. For a  
26 stock agent in a supply network, it would include physical inventory, road and rail networks, packaging  
27 conventions, and so on.

### 28 **3.4 Proposed Modeling Languages**

29 This section proposes various way of representing and expressing the agent's physical environment

### 30 4 Communication Environment

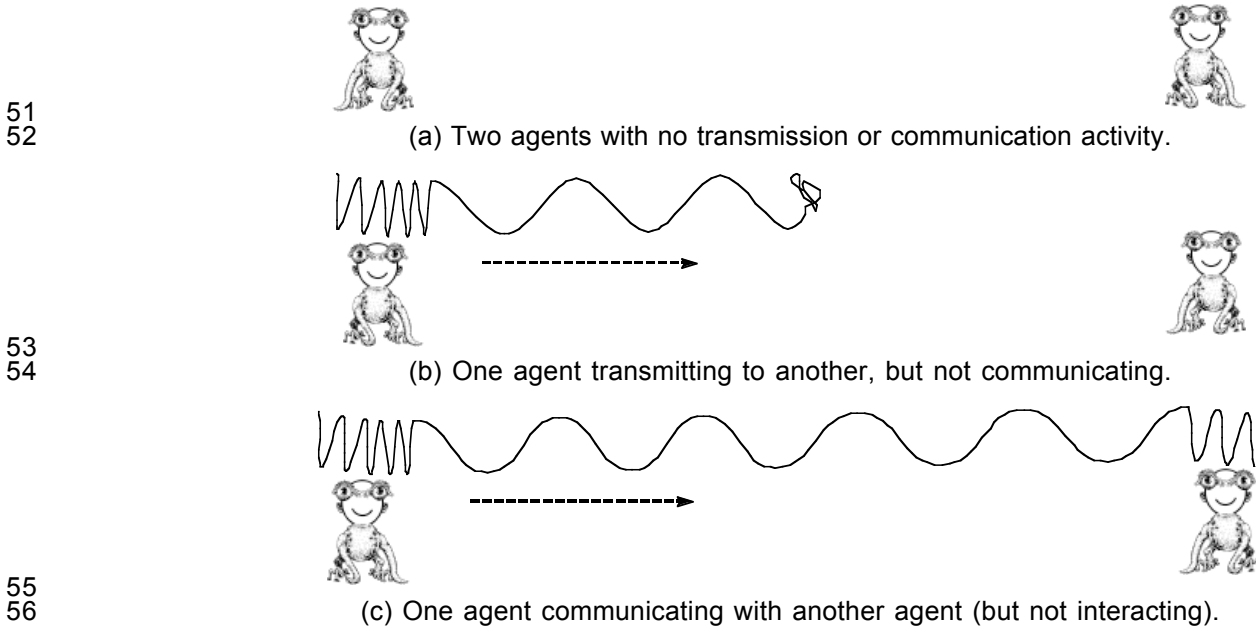
31  
32 In *individualist* agent environments, agents are viewed as independent entities; whereas in *collectivist*  
33 environments, agents are viewed as interdependent. While an agent can operate by alone, the increasing  
34 interconnections and networking require a different kind of agent—one that can communicate effectively with  
35 other agents. A communication environment provides two things. First, it provides the principles and processes  
36 that govern and support the exchange of ideas, knowledge, information, and data. Second, it provides those  
37 functions and structures that are commonly employed to enhance communication, such as roles, groups, and the  
38 interaction protocols between roles and groups. In short:

39 The *communication environment* provides those principles, processes, and structures that enable an  
40 infrastructure for agents to convey information.

#### 41 4.1 Communication, Interaction, and the Social Agent

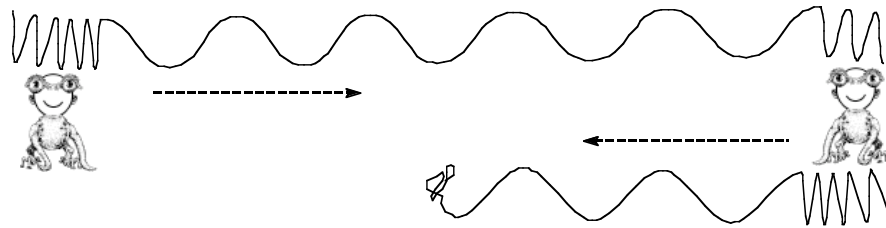
##### 42 4.1.1 Communication

43 Basically, communication is the conveyance of information from one entity to another, where any  
44 perceived/detected medium may be employed. For example, an audio/visual approach can be used for  
45 communication, as well as smell, touch, or machine-detectable means. The nature of this transfer can range from  
46 the simple to the complex. For example, a satellite could periodically send one bit to inform ground control that it  
47 is still functioning correctly; in contrast, the information exchanged within the US Senate to negotiate tax cuts can  
48 appear quite chaotic. In contrast, broadcasts such as television commercials do not necessarily result in  
49 communication. A signal may go out, but if you are not listening or watching, how can the commercial convey  
50 information?



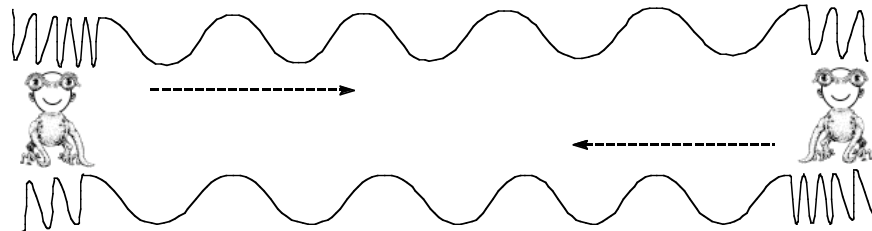
57 Figure 5: Agent transmission versus communication.

58  
59 Figure 5 illustrates the difference between transmission and communication. In Fig. 5(a), neither agent has any  
60 transmission activity. Figure 5(b) indicates that the agent on the left transmitted information through the  
61 environment, but was not received by the other agent. Communication, however, requires that the information  
62 transmitted by one agent results in a state change of another (Fig. 5(c)). (Marc-Philippe disagrees: he believes  
63 that interaction only conveys information that will eventually affect recipients but not for communication that just  
64 sends information. Problems of perlocutionary acts. Jim is not sure why there is a perlocutionary act problem.) In  
65 the case of television commercials, perceiving its transmission means that your senses have at least detected it.  
66 The perception could involve you buying the advertised goods, throwing a shoe at the television screen, or simply  
67 choosing to do nothing. Either way, communication has occurred because the act of sensing and deciding  
68 involves a state change by the receiver.



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(a) One agent communicating with another agent; and the other agent transmitting a response, but not communicating or interacting.



72  
73  
74

(b) Two agents interacting.

Figure 6: Agent communication versus interaction.

75 **4.1.2 Interaction**

76 *Proving* that communication has occurred, however, requires us to know that the inner state of the receiving agent  
 77 has in fact changed. We are not advocating that the communication environment possess such mentalistic  
 78 knowledge—only that such an environment be present so that transmission and communication can occur.  
 79 However, knowing that a transmission was received can be important to the sending agent. One useful way to  
 80 determine if communication has occurred is when an interaction results. Figure 6(a) depicts one agent  
 81 communicating with another. Here, the other agent responds, but the original agent does not receive the  
 82 responding transmission. (The original agent, then, cannot know for a certainty whether communication occurred.)  
 83 In other words, there was no interaction between the two agents. *Interaction*<sup>3</sup> requires two-way communication  
 84 (i.e., a reciprocal effect), as illustrated in Fig. 6(b). Interaction, then, not only defines exchange of information, it  
 85 confirms that the original transmission was in fact received by the other agent. In other words, the original agent  
 86 can infer that its transmission was communicated to the other agent as soon as a response is received—even if  
 87 the response communicates only that the responder did not understand the original message.

88 **4.1.3 Social Environment**

89 In agent-based systems, communication and interaction are commonly employed together (Marc-Philippe says:  
 90 “unfortunately, one for the another one, me, the first.” This needs more detail as to meaning). Furthermore,  
 91 agent-based communication can even involve patterns of interaction, or *interaction protocols*. From simply  
 92 requesting the price of a product to conducting elaborate contract-bidding activities require that some agree-upon  
 93 approach be in place to facilitate interactive communication—without which the conveyance of information could  
 94 easily result useless Babel. Such a situation could be considered *social*.

95 A *social environment* is a communication environment in which agents interact in a coordinated  
 96 manner.

97 As illustrated in Fig. 7, the social environment is a subset the communication environment. In other words, not all  
 98 communication is social (as defined above), but all social activity requires communication.

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<sup>3</sup> The action or influence of agents on each other; i.e., having a reciprocal effect.

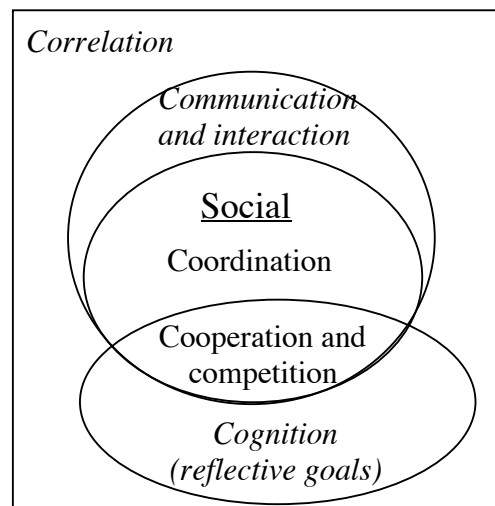


Figure 7: Social environment: coordination—and possibly cooperation, and competition

99  
00  
01

## 02 4.2 Principles of a communication environment

03 Communication principles provide us with the fundamentals that are essential for interactions, customs, norms,  
04 values, commitments, dependencies, and so on, that constitute an agent society. The canonical ant's  
05 communication environment is simple: all communications between ants are via pheromones. Here, the  
06 communication involves a two-step process: the ant deposits pheromones that act as information for other ants,  
07 while the "other ants" query the local environment for the presence of pheromones. In short, these ants participate  
08 in a social communication by way of environmental substances, rather than directly with each other. Additionally,  
09 some ant societies have multiple kinds of pheromones: one for exploring and one when returning with  
10 food. The net effect is informing a society of ants about how to find food or home—whichever is useful for any  
11 given ant. If an ant is foraging, information about where to find food is useful; if the ant has food, directions on  
12 how to get back the colony would be useful. Such interaction is social because it provides the ants with an  
13 infrastructure for the colony because it produces coordination among the ants.

14 Supply-chain agents can have elaborate collaborative protocols acquiring and delivering goods and services  
15 along value-adding chains. Defense-related protocols, require different interaction policies at different command  
16 levels. Both direct and indirect interaction can be employed as interaction strategies in the applications.

17 In rich multiagent societies (MAS), several principles are required to facilitate the communication environment.

- 18 – **Communication language**- Agents communicate to understand and be understood. The formal study of  
19 communication has three aspects: syntax, semantics, and pragmatics. Agent-based social environments must  
20 define the principles required to address these aspects. Additionally, it must define the types of messages that  
21 will be employed (e.g., assertions, queries, replies, requests, and denials) and the ontology. Some of the  
22 common agent communication languages (ACL) languages include FIPA ACL, and KQML. (refs here)
- 23 – **Interaction protocols**- An agent interaction protocol (AIP) describes a communication pattern as an allowed  
24 sequence of messages between entities and the constraints on the content of those messages [Odell, 2000a  
25 and 2000b]. Examples of AIPs include the Contract Net protocol, Dutch auction protocol, and  
26 publish/subscribe protocol. FIPA has standardized more than a dozen AIPs [FIPA, 2000].
- 27 – **Coordination strategies** - Agents communicate to achieve their goals and the goals of the social group in  
28 which they participate. Cooperation, competition, planning, and negotiation are common principles used to  
29 perform activities in a shared environment. AIPs can be associated with each of these strategies.
- 30 – **Social policies**- The permissions and obligations that dictate acceptable social behavior. They include being  
31 able to apply and enforce these policies across distributed agents and systems. The general focus here is on  
32 the application and management of policies on agents and groups of agents—not the detailed management of  
33 agent lifecycles and areas currently addressed by FIPA agent management specifications. Other  
34 considerations for social policy can involve:
  - 35 ○ Implicit vs explicit rules; not all rules are specified in advance: i.e., learning what the rules are or adjusting to  
36 a change in rules, emergence of rules, unconscious rules, when tradition becomes a social norm, or policy.
  - 37 ○ Different levels: of influence/power (e.g., the return on investment (ROI) on obeying or violating a rule,  
38 strength and "evaporation" of rule; rules don't always stick around, rules as memes, language use.)
- 39 – **Culture**- a set of values, beliefs, desires, intentions, trust, morality. These can determine the characteristics of  
40 the above. FIPA vs. KQML cultural differences; English vs. other different-culture language (e.g., Navajo).

41 Culture also affects language (whether agent language or human language), interaction protocol, and social  
42 policies (implicit & explicit).

### 43 4.3 Processes of a communication environment

44 An agent's communication environment provides processes that enable agents to interact productively.<sup>4</sup> In  
45 particular, it must provide:

- 46 – **Interaction management**- managing the interactions among entities to ensure that they are adhering to the  
47 selected agent interaction protocol (AIP). AIP adherence can be maintained by those agents participating in  
48 the protocol, so that the environment does not need to be involved. However, trusting that each agent can  
49 and will adhere to and ensure correct AIP interaction may not be enough to ensure social order. An  
50 environment-level control can be implemented as an AIP-manager agent. Did you get what you  
51 wanted/needed/expected;
- 52 – **Language processing and policing** – where the language parses correctly, it parses correctly but is wrong  
53 (evidence or contradictory), or is correct but inappropriate within the agent's context.
- 54 – **Coordination strategy services**
  - 55 o **Directory service**- locating agents can be supported by white-page (individual), yellow-page (industry), or  
56 green-page (offered services) methods. In the physical environment, this directory is used to provide  
57 information about where the agent is physically; in the social environment, it provides information about an  
58 agent's role or the services that it can provide.
  - 59 o **Mediation services**- acting through an intermediate agency. Specialized agents could be established in  
60 the environment to act as a communication's intermediary for activities such as transaction management or  
61 ontology translation. Environment-level mediation can be implemented using specialized agents.
- 62 – **Policy enforcement service**- control of the agent by its environment or social group. The range of possible  
63 mechanisms for enforcing policy mechanisms can range from social sanctions to a complete withdrawal of  
64 supporting services for the non-conforming agent.
- 65 – **Social differentiation**- the process whereby a group or community becomes separate or distinct. To ensure  
66 success, groups will institutionalize and employ roles for their members. An agent can play multiple roles in  
67 multiple groups.
- 68 – **Social order**- the production of a structure of relationships among social agents [Castelfranchi, 2000]. Social  
69 order can be the result of formal policies as well as emerge via self-organizing mechanisms. The later is an  
70 emergent social pattern of its own, such as the stock market. The former has to do with managing the  
71 conditions of an agent society as a whole employing a non-accidental and non-chaotic pattern of interactions.  
72 For example, auctions employ strict social patterns. Such a mechanism can be employed to control undesirable  
73 emergent patterns that need to be remedied. For example, when stock prices rise or fall by too many points in  
74 a session, trading curbs are triggered.

### 75 4.4 Population of a communication environment

76 As mentioned earlier, a physical environment consists of all those entities in the physical environment. In contrast,  
77 an agent's social environment consists of

- 78 – those social units in which the agent participates,
  - 79 – the roles that are employed for social interaction,
  - 80 – all the other members who play roles in these social units.
- 81 Each social unit, or *group*, is a set of agents associated together by some common interest or purpose. There  
82 are three reasons for creating groups.
- 83 – **Intragroup associations**- Groups are commonly formed to foster or support the interaction of those agents  
84 within the group. Here, the group provides a place for a limited number of agents to interact among  
85 themselves. For example, such agents might wish to exchange information or seek safety in numbers.
  - 86 – **Group synergy**– Social units can be formed to take advantage of the synergies of its members, resulting in an  
87 entity that enables products and processes that are not possible from any single individual. Corporations,  
88 unions, and governments are examples of such social units.
  - 89 – **Intergroup associations**- Social units also serve as an entity with interactive capability. Here, a group is a set  
90 of agents that interact with other sets of agents. Recurrent patterns of interaction define roles, and frequently  
91 associated roles are usually considered as defining (sub)groups.

92 A group can be empty if no agents participate in the group; its collection can also contain a single participating  
93 agent or multiple agents. Groups have a separate identity within a larger whole and can be composed of agents,  
94 as well as other groups<sup>5</sup>. Furthermore, groups can become social actors influencing group processes and

<sup>4</sup> The agent communication *channels* are defined as part of the physical environment. The communication *environment* uses those channels to convey information.

<sup>5</sup> Some debate exists about whether a single agent can be its own group, because each agent can be thought of as having both a social and physical existence. There is another debate about whether or not a group has the status of an agent (holonics vs. AALAADIN).

95 outcomes, as well. For example, most business organizations interact with sector groups such as industry,  
96 technology, agriculture, and government; and each of these can influence the other as well as consist of their  
97 own subgroups. In this way, an agent social environment can be thought of as a society where agents interact in  
98 a more or less ordered community.

99 A *role* is an abstract representation of an agent's function, service, or identification within a group. In other words,  
00 each role is a class of agents that participates in pattern of dependencies and interactions in a prescribed  
01 manner. A pattern of dependencies is an important component of a role. For example, if agent A is a customer,  
02 there must be some agent B on whom A depends for goods and services, while B depends on A for money. For  
03 AIPs, roles define which actions are permitted for a certain class of agents. For example, an agent playing the  
04 customer role may request goods, but not supply them; the supplier has the opposite requirements. [Parunak,  
05 2001a]

#### 06 **4.5 Proposed Modeling Languages**

07 This section proposes various way of representing and expressing an agent's communication environment  
08

## 09 5 Spatial and Temporal Considerations

10 An agent's environment—physical or social—must occupy both space and time. Agent populations abide and  
 11 interact, their processes occur, and their environmental principles are defined over that same temporal space.  
 12 Agent space and time involves the notion of agent *place*, along with two of its primary attributes: *extent*, and  
 13 *locality*.

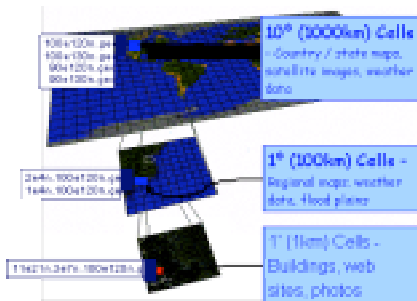
### 14 5.1 Place

15 Each agent environment can be thought of as a whole or it can be subdivided into discrete *regions*. Regions  
 16 partition the agent's physical environment into smaller physical units—where each region may have different or  
 17 unique characteristics. For example, a grid structure can be defined for the ants so that discrete locations are  
 18 provided for both the ants and their pheromones, as well as the ability to form pheromone paths. Region definition  
 19 can also include geographic-based attributes, such as lakes, hills, roads, and structures. In social environments,  
 20 regions spatially partition the environment into groups and roles. In contrast, temporal space can define unique  
 21 characteristics for each place in time.

22 Region specification can include various constraints. For example, in a physical environment we may wish to  
 23 specify that no two ants may occupy the same place at the same time; yet, we may permit accumulation of  
 24 multiple units of pheromones. In a social environment, business organizations might be limited to having one  
 25 person occupying the role of president at any point in time.

26 Set theoretic distinctions can be made between membership and set. For example, if Agent A belongs to  
 27 Organization B and Organization B belongs to a federation of organizations C, A does not necessarily belong to  
 28 C. However, if an Agent A is an element of Set B and Set B is contained by Set C, then Agent A is also an  
 29 element of Set C.

#### Geodata Placement



30 Fig. 8. Places has a hierarchy of geographic placement. [SRI, 2000]  
 31

32 The region size is determined based on the design granularity: meter-sized places are unrealistic for small ants;  
 33 micron-sized regions would push the limits of current technology. For example, Pacific Gas & Electric specifies a  
 34 longitude and latitude within two meters accuracy called a *geocode*. The geocode place size for PG&E, then, is  
 35 four-square meters. In combat examples, a similar grid structure and size is also employed.

36 In another example, SRI proposes a new top-level Internet domain called *.geo*. [SRI, 2000] In a *.geo* system, the  
 37 Earth would be partitioned into cells based on latitude and longitude. Dedicated servers would hold the data  
 38 registered to Web sites within its geographical domain, as well as maps and other information. As illustrated in Fig.  
 39 8, places can be arranged hierarchically so that search engines could direct queries to one type of server,  
 40 depending on what the Web user was looking for. The Internet user could then query for cardiac bench surgery  
 41 in North America or men's clothing stores in Ann Arbor, Michigan. In this way, web user would never need to use  
 42 unwieldy *.com* addresses; the geo-enabled search engine translate a geographic location into web sites  
 43 registered at that location.

#### 44 5.1.1 Extent

45 Agent environments must exist in some designated area (or volume) in space and time. Region designations can  
 46 be expressed in various ways: length/width/height, location points indicating the boundary, memory or disk  
 47 locations, to and from dates, and so on. The shape of physical space can also be considered here. Social space  
 48 can expressed in terms the degree of interaction. For example, this could include the number of people you work  
 49 with, the "degree of separation" between one website and any other website. For example, the environment  
 50 could be a flat plane or a torus space. In other words, agent environments require an extent that defines its size,  
 51 shape, and boundaries. Effects of boundary conditions can also be addressed here.

## 52 5.1.2 Locality

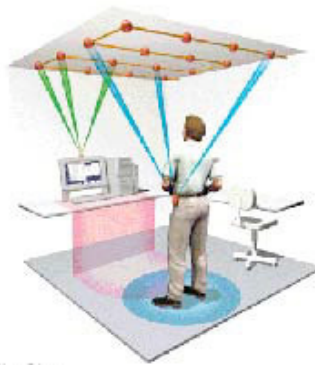
53 The ability to locate an entity is an important factor, particularly in agent communication. *Locality* provides the  
 54 position or situation of a region or entity. Often the locality of the region can become an agent's locality. Locality  
 55 can be addressed in an absolute and relative manner.

56 *Absolute* locators are locators that assign a unique address to each agent or region. Simple two-dimensional grid  
 57 system employ column-row designations, geospatial systems employ longitude-latitude-altitude designations, and  
 58 IT systems employ unique identifiers in the form of keys and unique reference IDs.

59 In contrast, *relative* locality means that an agent's location can be described as relative to another location. For  
 60 instance in a connected graph, one agent could be related to other agents, which could in turn be related to  
 61 other agents. Connected graphs such as the web, electric power networks, or networks of colleagues are  
 62 examples of where entity's location can be described relative to other entities. In a planar environment an agent's  
 63 relative neighborhood could be based on physical proximity rather than edges between nodes. For example in a  
 64 simple two-dimensional grid like a checkerboard, one square can be characterized as diagonal to, or to the side  
 65 of, and so on. This kind of locality is particularly useful when an entity is constrained to interact with the region of  
 66 the environment that is near it. For example, ant agents may only move from one region to an adjacent region,  
 67 and their pheromones might "flow" into neighboring regions where pheromone strength lessens the further it  
 68 travels.

69 Locality is useful for several reasons. One primary reason is that communicating with an agent requires that the  
 70 message can actually be delivered to the agent. The sender of a communication may not be required to know  
 71 where the receiver is physically located, but at some point the communication service must find the receiver to  
 72 deliver the message. Another reason is to provide location information. For example, a dispatcher agent might  
 73 need to know the physical location of its various resources to schedule effectively. Lastly, agent movement or  
 74 interaction may be based on, or limited to, physical proximity. For example, an ant agent may only move to or  
 75 interact with the region that is immediately adjacent to it. In contrast, a flea or grasshopper-style agent may jump  
 76 multiple squares in a single bound, but is limited to a maximum of five.

77 Also, it should be noted that since regions are positioned in an environment, the notion of locality applies to them,  
 78 as well. Furthermore, an agent's locality can be based on the locality of the region it occupies. For example in  
 79 the .geo example (Fig. 9), each region had an absolute locator within which other entities are contained. In this  
 80 way, an agent's locality can be defined in a discrete space, instead of locating the agent one large, continuous  
 81 environment.



82  
 83 Fig. 9. Location-aware computing. [Buderi, 2001]

84 Hybrid approaches using absolute and relative locality are also useful. AT&T's "bat" transmitters are a good  
 85 example of a hybrid approach. "Bats" are small battery-powered ultrasonic transmitters that can be worn on a belt  
 86 or placed inside objects. They broadcast a uniquely identifying 48-bit pulse to receivers embedded every 1.5  
 87 meters in ceilings as illustrated in Fig. 8. (For example, about 800 are placed around AT&T's three-story lab in  
 88 Cambridge, England.) Based on the known position of each receiver, the bearer's precise position can be  
 89 calculated. In other words, the transmitters and receivers have absolute locators: the transmitter has an 48-bit ID  
 90 and the receivers are coordinate-based. Then, based on relative proximity, the coordinates of the transmitter can  
 91 be derived from the receivers' coordinates.

92 Using this location information, zones can be established around objects and people. If a person's zone overlaps  
 93 an object's zone, the person becomes the temporary owner of the device, be it a workstation, digital camera,  
 94 telephone or anything else. There is no logging on and everything the user creates—documents, pictures,  
 95 memos—is automatically stored in the user's personal files. [Baduri, 2001] In other words this technology, known  
 96 as *location-aware computing*, detects when you're online and what kind of device you're using. Many companies  
 97 now have development efforts that involve location-based computing: AT&T's Sentient Computing R&D (described  
 98 above), IBM's Pervasive Computing Division, HP's CoolTown project, the ubiquitous-computing projects at Intel  
 99 and Xerox. [Want, 2001] Microsoft is another such company with its new HailStorm services platform. When  
 00 someone tries to get in touch with you, the HailStorm system will detect your network location and level of

01 accessibility: Are you at your desk? In a meeting? In transit? Depending upon the answer, the system will e-mail,  
02 page or call you.

03 **5.2 Proposed Modeling Languages**

04 This section proposes various way of representing and expressing an agent's temporal and spatial considerations  
05

## 06 **6 Diagrams**

07 This section addresses the kind of diagrams that might be used to represent environment-related modelling.

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